

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Prell et al.

Serial No.:

09/353,670

Examiner: Wilson, J.

Filed

July 15, 1999

Group Art Unit: 1623

For

METHODS AND COMPOSITIONS FOR

REGULATING IMIDAZOLINE RECEPTORS

#### INFORMATION DISCLSOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on:

January 5, 2003

Date of Deposit

Carmella L. Stephens

Attorney Name

41,328

PTO Reg. No.

Jarnelle & Slephe

Signature

January 5, 2003

Date of Signature

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.97 and 1.98, applicant respectfully requests that the documents, listed below and on the accompanying PTO 1449, be considered by the Examiner and made of record in the above-referenced application. Copies of the documents listed are enclosed, with the exception of document number 14, which to date Applicants have not been able to obtain a copy of. Applicants will supply the Examiner with a copy of document number 14 upon its receipt.

- 1. Tabor and Hayaishi, 1955, J. American Chem. Soc. 77:505-50611;
- 2. Karjala SA, 1955, J. Amer. Chem. Soc. 77:504-505;

#### **PATENT**

- 3. Karjala SA, 1956, J. Biol. Chem. 219:9-12;
- 4. Bauer, 1958, BBA 30:219;
- Baddiley et al., 1958,
   J. Chem Soc. 3743-3745;
- 6. Bauer, 1962, J. Org. Chem 27:167-170;
- 7. Crowley GM, 1964, J. Biol. Chem. 239:2593-2601;
- 8. Robinson J.D. and Green, 1964, Nature 203: 1178-1179;
- 9. Beaven MA et al., 1974, Europ. J. Pharmacol. 29:138-146;
- 10. Moss J et al., 1976,J. Clin Invest 58:137-141;
- 11. Beaver MA et al., 1976, Experientia 32:1180-1182;
- 12. Atlas, D et al. 1987, J. Cardiovascular Pharmacol. 10 (suppl. 1.12) S122-5127;
- 13. Atlas D, 1991,
  Biochemical Pharmacology
  41:1541-1549;
- 14. Matulic-Adamic, 1991, Korean J. Med. Chem 1:54-64;
- 15. Thomas and Prell, 1993, Soc. Neurosci Abstr 19:85;
- 16. Li, G et al., 1994, Science 263:966-968;
- 17. Ernsberger P. et al., 1995, FASEB J. 9:A114;

NY02:470479.1 -2-

#### **PATENT**

- 18. Thomas and Prell, 1995, J. Neurochem. 65:818-826;
- 19. Thomas et al., 1995, Soc. Neurosci. Abst. 21:1857;
- 20. Atlas D, 1995, Annals of the New York Academy of Sciences 763:314-324;
- 21. Morgan et al., 1995, Ann. N.Y. Acad. Sci 763:361-373;
- 22. Regunathan and Reis 1996, Ann. Dev. Pharmacol Toxicol 36:511-44;
- 23. Ernsberger P. et al., 1997, J. Hypertension 15:59-523;
- 24. Chan et al., 1997, Brit. J. Pharmacol 120:926-932; and
- 25. Eglen RM, 1998, Trends in Pharmacol.Sci; 19:381-390

-3-

Identification of the listed documents is not to be construed as an admission of the applicants or attorneys for applicants that such citations are available as "prior art" against the subject application. If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

There should be no fee required for this submission. However, if any fee is required, or if any overpayment has been made, the Commissioner is hereby authorized to charge any fees, or credit or any overpayments made, to Deposit Account 02-4377. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Carmella L. Stephens

Patent Office Reg. No. 41,328

Attorney for Applicants BakerBotts, LLP 30 Rockefeller Plaza New York, NY 10112 (212) 408-2500

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1; of 3

Complete if Kn wn				
Application Number	09/353,670			
Filing Date	07/15/1999			
First Named Inventor	Prell			
Group Art Unit	1623			
Examiner Name	Lewis, Patrick			
Attorney Docket Number	A31817			

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	1	Tabor and Hayaishi, 1955, J. American Chem. Soc. 77:505-50611			
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	8	Robinson J.D. and Green, 1964, Nature 203: 1178-1179			
	9	Beaven MA et al., 1974, Europ. J. Pharmacol. 29:138-146			
	10	Moss J et al., 1976, J. Clin Invest 58:137-141			
	11	Beaver MA et al., 1976, Experientia 32:1180-1182			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 3

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	12	Atlas, D et al. 1987, J. Cardiovascular Pharmacol. 10 (suppl. 1.12) S122-5127		
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	14	Matulic-Adamic, 1991, Korean J. Med. Chem 1:54-64		
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